## NEITHER ASLEEP NOR AWAKE

In his Life of Mesrop, Koriun ascribes a divine origin to the Armenian alphabet: God had granted «with His holy right hand, the characters of the Armenian language»<sup>1</sup>. Ghazar P'arpetsi had a similar view: the matter had been directed by the Saviour<sup>2</sup>. In a work dedicated to St Sahak it is explained that Mesrop had resorted to prayer when he realised that «the solution of the matter would be from God and not Man»<sup>3</sup>. Movsēs Khorenatsi expanded on these brief statements: Mesrop had turned to prayer after he had found himself unable to complete the alphabet. In answer to his prayer, he had received a revelation which was

not a dream in sleep, nor a vision while awake, but a right hand appeared to the eyes of the spirit in his heart, writing on a [polished] stone, making marks as in snow. And not only was it visualised but all the details were collected in his mind as [if it were] a pot. And rising from [his] prayers, he created our characters<sup>4</sup>.

Regarding Movsēs's account, a number of modern scholars have expressed the view that the details of the miraculous origin were an invention, and the ascription of divine assistance has been considered to demean Mesrop's achievement. Hence no attempt has been made to elucidate its meaning<sup>5</sup>. Malkhasyan's view is typical:

- KORIUN, The life of Mashtots, reprint of Manuk ABEŁEAN's edition with an English translation by Bedros Norehad (New York, 1964), chapt. 8, p. 71 f.
- 2. ŁAZAR P'arpec'i, Patmut'iun Hayoc', I, 10 (Venice, 1933), p. 46.
- 3. Patmut'iun vasn srboyn Sahakay, Sop'erk' Haykakank' 2 (Venice 1853), p. 9 f.
- 4. Եւ տեսանէ ոչ ի քուն երազ եւ ոչ յարութեան տեսիլ, այլ ի սրտին գործարանի երեւութացեալ հոգւոյն աչաց թաթ ձեռին աջոյ՝ գրելով ի վերայ վիժի, զի որպէս է ձեան վերջք գծին՝ կուտեալ ունէր քարն։ Եւ ոչ միայն երեւութացաւ, այլ եւ հանգաժանը աժենայնին որպէս յաժան ինչ ի ժիտս նորա հաւաքեցաւ։ Եւ յարուցեալ յաղօթիցն՝ եստեղծ զնչանագիրս ժեր, ... MOVSES Xorenac'i, Patmut'iun Hayoc', III, 53.
- 5. E.g. H. AČAREAN, «Mesrop Maštoc'», Elmiacin, 1955, No. 3, p. 30-31.

of course, no miracle had taken place; the invention of our alphabet was a consequence of Mesrop's extensive and solid efforts. The vision described by Khorenatsi is a purely theoretical construct.

Revelations received in dreams are reported frequently in ancient records such as the Bible<sup>7</sup>, as are those when the recipients were awake. They are juxtaposed in *Joel*:

your old men shall dream dreams, your young men shall see visions8.

Both dream (eraz) and vision (tesil) occur in Armenian literature<sup>9</sup>. St Grigor the illuminator, for instance, saw a vision which instructed him to build a church at a specific spot in the capital city of Vagharshapat<sup>10</sup>. St Nerses was seen being taken up to heaven on his death<sup>11</sup>, and Anania Shirakatsi saw a vision of a Sun-being in a dream<sup>12</sup>.

In attributing an heavenly origin to Mesrop's alphabet, Armenian writers were subscribing to the early medieval belief that all thoughts and ideas were revelations from the spiritual world<sup>13</sup>. Movsēs, however, went beyond this general attribution by his statement that Mesrop had been neither asleep nor awake at the time and his description of the manner by which the message had entered his mind. Whether or not this account was a «theoretical construct», the implication is that Mesrop had been in a different state of consciousness, which Movsēs found it unnecessary to explain.

If the story were an invention, would a simple traditional vision not have sufficed for Movses's purpose? In the case of the kat'oghikos Sahak's vision, he found it sufficient to note that it had been revealed to him «in

- 6. մի տեսարանական ռեֆլեջս. S. MALXASEAN, modern Armenian translation of MOVSES Xorenac'i's *Patmut'iun Hayoc'*, reprint of first edn. (Cairo, 1953), p. 545, n. 314.
- 7. E.g. Matthew, 1. 20-21, 2. 12-13, 19-20, 22.
- 8. Joel, 2. 28.
- 9. The two Greek words for vision used in the New Testament are not distinguished in the Armenian, both being translated as tesil; viz. optasia (Luke 24. 23; Acts 26. 19), and orama (Matthew 17. 9; Acts 10. 3).
- AGAT'ANGEŁOS, Patmut'iun Hayoc', para. 731-755. For a discussion of Grigor's vision, see Anders HULTGARD, «Change and continuity in the religion of ancient Armenia», in Thomas J. SAMUELIAN (ed.), Classical Armenian culture, University of Pennsylvania Armenian Texts and Studies 4 (1982), pp. 8-26.
- 11. P'AUSTOS Buzand, Patmut'iun Hayoc', V. 25.
- 12. K'. PATKANEAN (ed.), Mnac'ordk' banic' (St Petersburg, 1877), pp. 39-40.
- 13. Rudolf STEINER, The Michael mystery (Spring Valley, NY, 1984), pp. 1, 9 f.

sleep» 14. Why then did he emphasise that Mesrop's revelation had come to him when he was neither asleep nor awake, and what is to be understood by the spiritual eyes of the heart?

The earliest clue to an understanding of Movses's description is found in the works of St Augustine and Isidore of Seville who defined three types of visions: corporeal, imaginative, and intellectual. Isidore further recognized three forms of imaginative prophetic vision, namely dreams, visions while awake, and ecstasy. Thomas Aquinas discussed these in the section on prophecy of his Summa Theologiae.

However, that prophecy in which supernatural truth is shown by imaginative vision is diversified first by dreams which occur during sleep and by visions which occur when awake.

In the following question (175) on ecstasy, he provided a further threefold distinction: «The human mind is rapt up by God to contemplate divine truth in three ways». The first was by imaginative comparisons, the second through its effect on the intellect and the third was a contemplation of the divine truth in its essence. As an example of the first of these he referred to the vision of a hand writing on the wall in the Book of Daniel (v. 5), which elsewhere he classified as corporeal<sup>15</sup>.

Another medieval indication is found in the visions received by the 14th-century Jewish mystic, Isaac ben Samuel of Acre, some of which are described as having being seen in a state between sleeping and waking <sup>16</sup>.

There is further clarification in the works of modern scholars. Thus, the Austrian philosopher, Rudolf Steiner (1861-1925) and a Russian exile, Valentin Tomberg (1900-1973), described, in words surprisingly similar to those used by Movses,

a third state apart from sleeping and waking ... which was not merely a condition of dreaming 17.

There were three such states of consciousness, called imaginative, inspirational, and intuitional. In the first of these it was possible to

- MOVSÊS Xorenac'i, Patmut'iun Hayoc', III, 66. Cp. ŁAZAR P'arpec'i, Patmut'iun Hayoc', I, 17 (Venice, 1933), pp. 87-109; Patmut'iun vasn s. Sahakay, op. cit., pp. 45-67.
- St THOMAS AQUINAS, Summa Theologiae, 2a2æ, questions 173-175, transl. by Roland Potter, vol. 45, «Prophecy and other charisms» (London, 1969), pp. 57, 73, 81, 105-07.
- 16. Joseph DAN, article «Visions», in Encyclopaedia Judaica, vol. 16 (Jerusalem, 1971).
- «einen dritten Zustand außer dem Schlafen und Wachen, ... der nicht bloß in Träumen bestand»; Rudolf STEINER, Zeitgeschichtliche Betrachtungen, Zweiter Teil (Dornach, 1966), p. 189.

receive information from the spiritual world in the form of pictures, and to experience «facts which the spiritual world can communicate to the physical with regard to events in the physical world» <sup>18</sup>. In the second, perception was oral, while in the third, a different stage of perception was achieved <sup>19</sup>.

Movsēs's description indicates that Mesrop had achieved the condition described as imaginative cognition. This had been common in very ancient times and was still possessed by some people in the early Christian centuries<sup>20</sup>. Such cognition differed from other visions in that the seer felt himself to be within the picture and not merely an observer of it<sup>21</sup>. It led «to an inner beholding of the matter contemplated»<sup>22</sup>, or in Movsēs's words «all the details were collected in his mind...».

Imaginative cognition could be achieved through a preparatory period of extensive moral purification followed by meditation and contemplation <sup>23</sup>. As described in the Armenian sources, Mesrop had purified himself, in a manner suitable for his time, by living a severely ascetic life as a hermit in the mountains for a number of years. He had endured hunger and thirst, eaten only vegetables, worn sackcloth, slept on the ground and at other times deprived himself of sleep. Shortly before the invention of the alphabet, Mesrop had returned to «his former practice of prayer and vigil and ... austerity» <sup>24</sup>. A seventh-century work entitled *Emperors of the Romans* (possibly by Anania Shirakatsi) confirms that Mesrop had seen the vision after fasting and prayer <sup>25</sup>.

Movsēs's further indication that the alphabetic characters had been revealed to Mesrop through «the eyes of the spirit of his heart» recalls St Paul's comment on those who were blind in their heart<sup>26</sup>. A recent writer has described the heart as the organ for perceiving «the living thoughts of the cosmos»<sup>27</sup>. Through contemplation, spiritual organs

- 18. Valentin TOMBERG, *Inner development* (Spring Valley, NY, 1983), p. 48. Tomberg joined the Roman Catholic church during the second world war.
- 19. Rudolf STEINER, Karmic relationships, vol. 5 (London, 1966), p. 70 f.; TOMBERG, op. cit., pp. 43-54.
- 20. Andrew WELBURN, The beginnings of Christianity (Edinburgh, 1991), p. 116.
- 21. Rudolf STEINER, The evolution of consciousness, 2nd edn (London, 1966), p. 27.
- 22. TOMBERG, op. cit., p. 44.
- 23. Rudolf STEINER, Knowledge of the higher worlds, sixth edn. (London, 1976), pp. 31-76.
- 24. KORIUN, op. cit., chapt. 4 and 8, pp. 68, 71 f. Cp ŁAZAR P'arpec'i, Patmut'iun Hayoc', I, 10 (Venice, p. 37 f).
- 25. B. SARGISEAN, Ananun žamanakagrut'iun (Venice, 1904), p. 57.
- 26. Ephesians, 4. 18.
- 27. Sergei O. PROKOFIEFF, The cycle of the year as a path of initiation leading to an experience of the Christ-Being (London, 1991), p. 11.

called «eyes of the spirit» could be formed in the neighbourhood of particular bodily organs, of which the most important was the heart. This was associated with logical thought, endurance in the achievement of a goal, and equanimity in failure or success 28, all qualities possessed by Mesrop.

Whether or not Mesrop did indeed have such an experience, Movses's precise description of the vision indicates that he was aware of the existence of a third state of consciousness. Knowledge of this nature was known to the early church fathers and taught in the philosophical schools. The concept of the «eyes of the heart», for instance, is found in the Hermetic teachings<sup>29</sup>. Movsēs does not divulge the source of his knowledge but we may conjecture that it was in Alexandria where, he says, he had studied at a peripatos, i.e. a school of philosophy30. Although he implies that he had spent his student days in a Christian atmosphere, his teacher, the «new Plato», has been identified as the famous pagan philosopher Hierocles, some of whose pupils were Christians<sup>31</sup>.

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<sup>28.</sup> Rudolf STEINER, Knowledge, op. cit., pp. 58, 129-132, 142 f.

<sup>29.</sup> Andrew WELBURN, op. cit., p. 187 f.

<sup>30.</sup> MOVSĒS Xorenac'i, Patmut'iun Hayoc', III, 62.

<sup>31.</sup> Edward GULBEKIAN, «Some veiled allusions in Movses Khorenatsi's History», Handes Ams., 106 (1992), 1-13.

## Ամփոփում

## በ'9 ኮ ቶበՒՆ ԵՒ በ'9 ፀԱቦԹՆበՒԹԵԱՆ

## ԵԴՈՒԱՐԴ ԳԻՒԼԲԷԿԵԱՆ

Վաղ միջնադարհան Հայ պատմաբանները կը յայտնեն Թէ Հայոց այբուբենի յօրինող Մեսրոպ վարդապետը կարողացած է գայն լրացնել միայն Աստուածային օգնութեամբ։ Ըստ Կորիւնին, «Որում պարգեւէր իսկ վիճակ յամենաչնորհող Աստուծոյ․ հայրական չափուն ծնեալ ծնունդս նորոգ եւ սքանչելի՝ սուրբ աջովն իւրով, եւ նչանագիրս Հայերէն լեզուին»։ Մովսէս Խորենացին կ՝ընդարձակէ այս տեղեկութիւնը՝ բացատրելով թէ Աստուածային յայտնունիւնը տեղի ունեցած է ոչ իբրեւ երագ քնացած վիճակի մէջ, եւ ոչ իբրեւ տեսիլը արթուն եղած ատեն։ Խորենացիին այս բացատրութիւնը խորթ թուած է նոր քննադատներէն ոմանց։ Կարծիք յայտնուած է Թէ Աստուածային օգնութեան պատմութիւնը Մովսէսին Հնարածն էր, եւ թէ ան կր նուաստացնէր Մեսրոպին դործը։ Օրինակ՝ Ա. Մ. Գարագաչեան՝ տեսիլջը վերագրեց «ծերունիի բարեպաչտ միամտութեան»։ Այդուաժենայնիւ, Մովսէսին տուած ժանրաժասնութիւնները հաժաձայն են ժիջնադարեան եւ ա՛յլ չրջանի հեղինակներու գրութեանց։ Ի միջի այլոց, եկեղեցւոյ Հայրեր՝ Ս․ Օգոստինոսը, Հանրագիտարանի Հեղինակ Իսիդոր Սեւիլացին եւ Ս․ Թովմա Աբուինացին, կը զանազանեն տարբեր տեսակի տեսիլջներ։ Բաղդատելով տեղեկուԹիւնները, կարելի է եղրակացնել որ Խորենացիի նկարագրութիւնը կր Համապատասխանէ «պատ– կերաւոր» (imaginative) տեսիլքի։